

Transfer and Assignment of Ownership Form

TO BE COMPLETED BY TRANSFEROR/CURRENT OWNER AND TRANSFEREE/NEW OWNER

PLEASE RETURN ORIGINAL COMPLETED FORM TO THE FOLLOWING:

DST Systems, Inc.
Attn: Cottonwood
430 W. 7th Street
Suite #219065
Kansas City, MO 64105
Phone: 844.422.2584

INSTRUCTIONS

- Transfer due to death or estate planning purposes. Complete all sections.
- Transferor must sign -- ALL SIGNATURES MUST BE MEDALLION SIGNATURE GUARANTEED.
- Proper authority documents for transferee must be submitted with this form as applicable.
- Please complete Attachment A – Form W-9.
- If current registered owner is deceased, a death certificate and applicable court documents must be provided to prove signor's authority on behalf of the deceased transferor.
- Processing delays may occur if required documentation is not provided

Transferee and Transferor hereby represents and warrants to Cottonwood and its affiliates entities (hereinafter the "Company")

1. Transferee has received a copy of the Company's offering circular or private placement memorandum, as amended and supplemented ("Offering Material").
2. Transferee is acquiring the Shares for his own account or for the account or benefit of a member or members of his immediate family or in a fiduciary capacity for the account of another entity and not otherwise as an agent for another.
3. Transferee meets the requirements of an accredited investor and/or suitability standards, as described in the Offering Material, if applicable. Does not apply to Transferees acquiring shares by gift or operations of law.
4. Transferee understands that the ability to assign and transfer the shares/units/interests will be governed by the Articles of Incorporation, Bylaws, Charter, as applicable, of the Company, as the same may be amended and/or restated, and all applicable laws as described in the Offering material, and Transferee has reached the age of majority in his state of residence.
5. Transferor and Transferee acknowledge and understand that (a) without prior approval of the Company.
6. Transferee understands the meaning and legal consequences of the representations and warranties set forth above, and Transferee agrees to indemnify and hold harmless the Company from and against any and all loss, damage, claim, expense or liability (including, without limitation, court costs and attorney fees and expenses) due to, or arising out of, a breach of representation or warranty of Transferee contained in this Transfer and Assignment. Notwithstanding any of the representations, warranties, acknowledgments or agreements made herein by Transferee, Transferee does not thereby or in any other manner waive any rights granted to him under federal or state securities law.
7. Transferor hereby constitutes and appoints the Company (or the Company's Nominee) as Transferor's attorney in fact to transfer the shares/units/interests on books and records on the Company to Transferee with full power of substitution or as defined in the Offering Material. The foregoing grant of authority (a) is a special power of attorney and coupled with an interest, and (b) is irrevocable and shall survive Transferee's death, dissolution or disability.



cottonwood



Transfer and Assignment of Ownership Form

TO BE COMPLETED BY TRANSFEROR/CURRENT OWNER

(See attached instructions)

1. NAME OF FUND _____ Account Number: _____
Complete Name of Fund

2. TRANSFEROR/CURRENT OWNER INFORMATION (if Custodial, provide beneficial owner information in Section 2 and Custodial information in Section 3):

Name of Transferor/Current Owner Name of Joint Transferor/Current Owner

Street Address: _____ City: _____ State: _____ Zip Code: _____

SSN/Tax ID: _____ Birth Date: _____
(MM/DD/YYYY)

Daytime Phone: _____ Evening Phone: _____

3. CUSTODIAN INFORMATION (complete if IRA, ROTH, KEOGH, SEP, PSP, PENSION, Etc.):

Custodian Name: _____ Custodian Tax ID: _____

Street Address: _____ City: _____ State: _____ Zip Code: _____

Custodian Account Number: _____ Phone: _____ Email: _____

4. NUMBER OF SHARES TO TRANSFER AND REASON FOR TRANSFER

- Transferring to a new account
- Transferring to an existing account: _____
- Partial Transfer: \$ _____ OR _____ shares/units/interests
- Transfer all shares

Select a Reason for Transfer

- Re-Registration (Change of Name, Custodian, TTEE or, Divorce/Separation, etc.)
- Inheritance - Alternative Cost Basis or Valuation Per Share _____ Gift - Date of Gift: _____
(MM/DD/YYYY)
- Death - Date of Death: _____
(MM/DD/YYYY)
- Other (Specify): _____

5. TRANSFEROR/CURRENT OWNER SIGNATURES

The Transferor declares that the information supplied herein, including the representations and warranties set forth on page 1 is true and correct and may be relied upon by the Company and that all Transferors acting in a representative capacity for a corporation, partnership, trust or other entity has full authority to execute this Transfer and Assignment in such capacity.

 Signature of Transferor/Current Owner or TTEE

 Signature of Co-Transferor/Current Owner or TTEE

 Signature of Custodian

 Title of Signor



Transfer and Assignment of Ownership Form

TO BE COMPLETED BY TRANSFEREE/NEW OWNER

(See attached instructions)

6. NAME OF FUND

_____ *Complete Name of Fund*

Fund Number: _____ Account Number: _____

If you already have an existing account in this EXACT Fund, please provide account number

7. TYPE OF REGISTRATIONS FOR TRANSFEREE/NEW OWNER

Non-Custodial Ownership

- Individual Ownership**
- Joint Tenants with Rights of Survivorship** - All parties must sign
- Community Property** - All parties must sign
- Tenants in Common** - All parties must sign
- Corporate Ownership** - Authorized signature required
Include copy of corporate resolution: S or C Corp

- Partnership Ownership** - Authorized signature required
- Qualified Pension Plan and Profit-Sharing Plan** (non-custodial)
- LLC's**

Custodial Ownership

- Traditional IRA** - Custodian & Owner signature required
- ROTH IRA** - Custodian & Owner signature required
- Deceased IRA** - Custodian signature required
Name of Deceased: _____
Date of Death: _____
(MM/DD/YYYY)
- Simplified Employee Pension/Trust (SEP)**

- Pension or Profit Sharing Plan** - Custodian signature required
- Uniform Gift to Minors Act** - Custodian signature required
State of: _____
Custodian for: _____
- Other:** _____
(Specify)

Trust Accounts

- Taxable Trust** - Authorized signature required
Provide Certification of Trust
- Tax - Exempt Trust** - Authorized signature required
Provide Certification of Trust
- Other:** _____
(Specify)
- Inception Dates:** _____
(MM/DD/YYYY)

Name of Custodian: _____ Mailing Address: _____

City: _____ State: _____ Zip Code: _____ Custodian Tax ID: _____

Custodian Account Number: _____ Phone: _____ Email: _____



TO BE COMPLETED BY TRANSFEREE/NEW OWNER

(See attached instructions)

8. TRANSFEREE/NEW OWNER INFORMATION

If NON CUSTODIAL OWNERSHIP Print COMPLETE REGISTRATION *exactly as it should appear on the Books and Records of the Company*

Tax ID of Trust/Partnership/Corporation for entity check in Section 7: _____
(non-custodial ownership or trust accounts)

9. TRANSFEREE/NEW OWNER INFORMATION (if Custodial, provide beneficial owner information in Section 9 and Custodial information in Section 7:

Name of Transferee/New Owner _____ Name of Joint Transferee/New Owner _____

Name of Owner/TTEE: _____ Email: _____

SSN/Tax ID: _____ Birth Date: _____
(MM/DD/YYYY)

Name of Co-Owner/TTEE: _____ Email: _____

SSN/Tax ID: _____ Birth Date: _____

Phone: _____ Citizenship: _____

Street Address: _____ City: _____ State: _____ Zip Code: _____
(PO Box will not be accepted)

Mailing Address: _____ City: _____ State: _____ Zip Code: _____

10. DISTRIBUTION OPTIONS

- Mail to Custodial Address in Section 7
- Mail Check to Street Address (or Mailing Address if provided) in Section 9
- Mail Check to 3rd Party (below)
- ACH Deposit directly into the account listed below

Name of Financial Institution: _____

Street Address: _____ City: _____ State: _____ Zip Code: _____

Bank ABA Routing Number: _____ Bank Account Number: _____

- Checking** [Attach voided check] **Savings** [Attach voided deposit slip] **Brokerage**

11. THIRD PARTY AUTHORIZATION

- Mail copies of my account statements to the individuals below:

Name of Third Party: _____

Address of Third Party: _____ City: _____ State: _____ Zip Code: _____

Phone: _____ Email: _____



TO BE COMPLETED BY TRANSFEREE/NEW OWNER

(See attached instructions)

12. REGISTERED REPRESENTATIVE / BROKER DEALER INFORMATION

Name of Registered Representative: _____

Name of Broker Dealer: _____ Broker Dealer Rep ID#: _____

Address of Registered Representative: _____

City: _____ State: _____ Zip Code: _____

Phone of Registered Representative: _____ Email: _____

Broker Dealer Home Office Address: _____

City: _____ State: _____ Zip Code: _____

13. AUTHORIZATION AND SIGNATURES OF TRANSFEREE/NEW OWNER AND SUBSITUTE W-9 FORM - Must be signed and completed by party under which tax information is to be reported or taxes will be withheld from distributions. Tax information may only be reported under one tax ID or social security number.

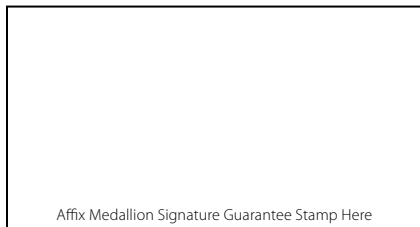
THE UNDERSIGNED CERTIFIES, under penalties of perjury (i) that the taxpayer identification number shown in section 9 (or section 7 if Custodial Account) of this form is true, correct and complete, and (ii) that I am not subject to backup withholding either because: (a) I am exempt from backup withholding, or (b) I have not been notified by the IRS that I am (we are) subject to backup withholding as a result of a failure to report all interest or distributions, or (c) the Internal Revenue Service has notified me that I am no longer subject to backup withholding and (iii) I am a US person (as defined by IRS Form W-9). The Internal Revenue Service does not require your consent to any provisions of this document other than the certifications required to avoid backup withholding.

The Transferee declares that the information supplied herein, including the representations and warranties set forth on page 1 is true and correct and may be relied upon by the Company and that all Transferees acting in a representative capacity for a corporation, partnership, trust or other entity has full authority to execute this Transfer and Assignment in such capacity.

Signature of Transferee/New Owner/TTEE Print Name Date

Signature of Co-Transferee/New Owner/TTEE Print Name Date

Signature of Custodian Title of Signor Date



Once complete, send original form to:
DST Systems, Inc.
Attn: Cottonwood
430 W. 7th Street, Suite #219065
Kansas City, MO 64105
Phone: 844.422.2584



Request for Taxpayer Identification Number and Certification

**Give Form to the
requester. Do not
send to the IRS.**

▶ Go to www.irs.gov/FormW9 for instructions and the latest information.

Print or type. See Specific Instructions on page 3.	1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.	
	2 Business name/disregarded entity name, if different from above	
	3 Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only one of the following seven boxes.	4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):
	<input type="checkbox"/> Individual/sole proprietor or single-member LLC <input type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate	Exempt payee code (if any) _____
	<input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership) ▶ _____ Note: Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is another LLC that is not disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner should check the appropriate box for the tax classification of its owner.	Exemption from FATCA reporting code (if any) _____
	<input type="checkbox"/> Other (see instructions) ▶ _____	<small>(Applies to accounts maintained outside the U.S.)</small>
	5 Address (number, street, and apt. or suite no.) See instructions.	Requester's name and address (optional)
6 City, state, and ZIP code		
7 List account number(s) here (optional)		

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

Note: If the account is in more than one name, see the instructions for line 1. Also see *What Name and Number To Give the Requester* for guidelines on whose number to enter.

Social security number											
				-			-				
or											
Employer identification number											
				-							

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (defined below); and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

Sign Here	Signature of U.S. person ▶	Date ▶
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General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

- Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later.